

Governance Report ? Claims/accounting decision support

HumanOSE ? governance preparation, assurance support and audit-ready evidence. No legal compliance certification.

Product:	HumanOSE
Use Case:	uc_cx_c03 (v1)
Source system:	causax
Language:	EN
Data mode:	synthetic
Generated at:	2026-09-04T08:15:35.144Z
Report status:	Provisionally classified
Integrity hash:	ae02ad78bc80b843...

This report is based exclusively on synthetic data (pilot operation). No real personal data.

This report does not certify legal compliance.

Executive summary

Plausibility indicators, categorization suggestions and anomalies for claims and bookings.

Intended purpose:	Support for case handling ? every effective booking/decision is approved by a human.
Preliminary risk class:	Elevated risk ? human review required
Human oversight required:	Yes
Governance Readiness:	0/8 Controls with evidence/reviewed

Governance Readiness denotes the degree of preparation (evidence in place/reviewed) ? it does not certify conformity.

Use case profile

Intended purpose:	Support for case handling ? every effective booking/decision is approved by a human.
Affected groups of persons:	natuerliche_personen_mieter, dienstleister
Data sources:	personenbezogen_schadensmeldungen, buchungsdaten
AI function:	classification, recommendation
Potential impact:	oekonomisch_personenbezogen
Jurisdiction:	eu-de
Source system:	

causax

Lifecycle state:

Provisionally classified

Risk assessment (preliminary)

Preliminary risk class:

Elevated risk ? human review required

Confidence of the assessment:

high

Ruleset:

v0.1.0

Human review required:

Yes

The risk classification is preliminary and does not replace a legal assessment.

Reasoning (rule reference)

- R-ELEV-ECON-01 ? Economic impact on natural persons ? decision support requires human approval of effective steps.
- R-ELEV-PII-01 ? Processing of personal data sources ? GDPR principles (purpose limitation, data minimization, data subject rights) must be applied.
- R-LIM-TRANSPARENCY-01 ? Generative or recommending AI function ? transparency and labeling obligations must be reviewed.

Recommended risk mitigations

- Every effective action (booking, decision, notification) is approved by a human.
- Data minimization: process only governance-necessary categories, no copies of raw data.
- Role-based access control and audit events for every access to sensitive objects.
- Label AI-generated content as such; escalation to a human is possible at any time.

Uncertainty of the assessment

Rule set v0.1 works with internal review heuristics on the EU AI Act, GDPR, Swiss DSG and the UK context. The assessment depends on the completeness of the registry entries and does not replace a legal review.

Policy & control overview

The control status documents the review of the evidence ? it does not certify conformity.

Control	Reference	Status	Evidence
Record-keeping / logging	EU AI Act Art. 12	Open	0
Transparency & labeling	EU AI Act Art. 13 / 50	Open	0
Lawfulness of processing	DSGVO Art. 6	Open	0
Data minimization	DSGVO Art. 5(1c)	Open	0
Data subject rights	DSGVO Art. 12-22	Open	0
AI literacy of those involved	EU AI Act Art. 4	Open	0
Access control	ISO 27001 A.9 (Referenz)	Open	0
Audit/evidence chain	ISO 27001 A.18 (Referenz)	Open	0

Open gaps

- Record-keeping / logging
- Transparency & labeling
- Lawfulness of processing

- Data minimization
- Data subject rights
- AI literacy of those involved
- Access control
- Audit/evidence chain

Human oversight

Responsible human:	Oversight assignment pending ? a final responsible human must be named before approval.
Reviewer:	?

Human responsibility remains mandatory; AI does not make automatic decisions about persons.

Review history

No review decisions recorded yet.

Evidence summary

Evidence chain status:	invalid (suspected tampering)
Number of evidence events:	51
Verification passed:	No

Simulated anchoring (PageCipher integration pending) ? marked as a simulation.

#	Timestamp	Actor	Action	Hash
848	2026-09-04T06:47:41.2	actor_system_humano	generate_evidence_report_ge	9cc20acb2cc3...
940	2026-09-04T07:26:05.1	actor_system_humano	generate_evidence_report_ge	3a9692555d05...
954	2026-09-04T07:26:17.6	actor_system_humano	generate_evidence_report_ge	b5cae4622b6f...
961	2026-09-04T07:26:44.3	actor_system_humano	generate_evidence_report_ge	281d21d9c94c...
972	2026-09-04T07:26:49.8	actor_system_humano	generate_evidence_report_ge	c17c1ea05244...
987	2026-09-04T07:27:12.9	actor_system_humano	generate_evidence_report_ge	73ee183e2333...
1010	2026-09-04T07:27:52.6	actor_system_humano	generate_evidence_report_ge	e6d17df607dc...
1052	2026-09-04T07:39:11.5	actor_system_humano	generate_evidence_report_ge	18c63587f871...
1054	2026-09-04T07:39:12.0	actor_system_humano	generate_evidence_report_ge	1ab889b82ffc...
1066	2026-09-04T07:39:25.8	actor_system_humano	generate_evidence_report_ge	abb4eb0bd822...
1074	2026-09-04T07:39:53.0	actor_system_humano	generate_evidence_report_ge	14f2f688c376...
1078	2026-09-04T07:39:54.0	actor_system_humano	generate_evidence_report_ge	55dfdb578993...

1196	2026-09-04T08:14:13.6	actor_system_humano	governance_report_ge	b72b60f745dd...
1198	2026-09-04T08:14:48.7	actor_system_humano	governance_report_ge	381001a00a24...

PageCipher anchor status

PageCipher integration status:	Active (HTTP integration with PageCipher)
PageCipher anchor status:	46/51 Anchored
PageCipher anchor reference:	pagecipher:4d4872c2390f17ea55a1318100abf149
Last anchoring attempt:	2026-09-04T08:14:48.745Z

The PageCipher anchor proves the integrity and provenance of the evidence ? it does not certify legal compliance.

Open risks & gaps

- 8 open controls without evidence
- Human approval pending

Legal notices (disclaimer)

- This report does not certify legal compliance.
- The risk classification is preliminary and does not replace a legal assessment.
- The legal assessment may require a specialized legal review.
- This report serves governance and compliance preparation on an evidence basis. It does not constitute legal advice, certification, or a conformity attestation.
- Human responsibility remains mandatory; AI does not make automatic decisions about persons.

Appendix

Control catalog

Control	Source	Reference
Check for prohibited practices	eu_ai_act	EU AI Act Art. 5
Risk management system	eu_ai_act	EU AI Act Art. 9
Data governance	eu_ai_act	EU AI Act Art. 10
Technical documentation (Annex IV-like)	eu_ai_act	EU AI Act Art. 11 / Annex IV
Record-keeping / logging	eu_ai_act	EU AI Act Art. 12
Transparency & labeling	eu_ai_act	EU AI Act Art. 13 / 50
Human oversight	eu_ai_act	EU AI Act Art. 14
Accuracy, robustness, cybersecurity	eu_ai_act	EU AI Act Art. 15
Lawfulness of processing	gdpr	DSGVO Art. 6
Data minimization	gdpr	DSGVO Art. 5(1c)
Data protection impact assessment	gdpr	DSGVO Art. 35
Data subject rights	gdpr	DSGVO Art. 12-22
Bias monitoring	internal_policy	SysTec Policy AI-BIAS-01
AI literacy of those involved	ai_literacy	EU AI Act Art. 4
Access control	security	ISO 27001 A.9 (Referenz)
Audit/evidence chain	audit	SysTec Evidence Standard (ADR-003)

Generation metadata

Generated at: 2026-09-04T08:15:35.144Z

Version: 0.1.0

Language coverage: 100 %

Number of evidence events: 1199