

Governance Report ? Contract/document AI assistance

HumanOSE ? governance preparation, assurance support and audit-ready evidence. No legal compliance certification.

Product:	HumanOSE
Use Case:	uc_cx_d04 (v1)
Source system:	causax
Language:	EN
Data mode:	synthetic
Generated at:	2026-09-04T07:30:35.521Z
Report status:	Active
Integrity hash:	860b32bc13b485e2...

This report is based exclusively on synthetic data (pilot operation). No real personal data.

This report does not certify legal compliance.

Executive summary

Extraction, summarization and clause indicators for contracts and documents ? in the pilot only synthetic sample contracts.

Intended purpose:	Drafting and review support with expert review of every output ? no legal advice.
Preliminary risk class:	Elevated risk ? human review required
Human oversight required:	Yes
Governance Readiness:	1/8 Controls with evidence/reviewed

Governance Readiness denotes the degree of preparation (evidence in place/reviewed) ? it does not certify conformity.

Use case profile

Intended purpose:	Drafting and review support with expert review of every output ? no legal advice.
Affected groups of persons:	vertragsparteien_mittelbar
Data sources:	synthetische_mustervertraege
AI function:	extraction, generation
Potential impact:	operativ, rechtsfolgen_bei_fehlern
Jurisdiction:	eu-de
Source system:	causax

Lifecycle state: Active

Risk assessment (preliminary)

Preliminary risk class: Elevated risk ? human review required

Confidence of the assessment: high

Ruleset: v0.1.0

Human review required: Yes

The risk classification is preliminary and does not replace a legal assessment.

Reasoning (rule reference)

- R-ELEV-LEGALDOC-01 ? AI extraction from contract/legal documents ? misextractions can have legal consequences; expert review of every output.
- R-LIM-TRANSPARENCY-01 ? Generative or recommending AI function ? transparency and labeling obligations must be reviewed.

Recommended risk mitigations

- Expert review of every AI output before use; labeling of the AI-generated portion.
- Label AI-generated content as such; escalation to a human is possible at any time.

Uncertainty of the assessment

Rule set v0.1 works with internal review heuristics on the EU AI Act, GDPR, Swiss DSG and the UK context. The assessment depends on the completeness of the registry entries and does not replace a legal review.

Policy & control overview

The control status documents the review of the evidence ? it does not certify conformity.

Control	Reference	Status	Evidence
Record-keeping / logging	EU AI Act Art. 12	Open	0
Transparency & labeling	EU AI Act Art. 13 / 50	Evidence attached	1
Lawfulness of processing	DSGVO Art. 6	Open	0
Data minimization	DSGVO Art. 5(1c)	Open	0
Data subject rights	DSGVO Art. 12-22	Open	0
AI literacy of those involved	EU AI Act Art. 4	Open	0
Access control	ISO 27001 A.9 (Referenz)	Open	0
Audit/evidence chain	ISO 27001 A.11 (Referenz)	Open	0

Open gaps

- Record-keeping / logging
- Lawfulness of processing
- Data minimization
- Data subject rights
- AI literacy of those involved
- Access control
- Audit/evidence chain

Human oversight

Responsible human: actor_klaus

Reviewer: actor_klaus (reviewer)

Human responsibility remains mandatory; AI does not make automatic decisions about persons.

Review history

Timestamp	Reviewer	Decision
2026-08-30T09:49:30.196Z	actor_klaus	Approved by human review

Evidence summary

Evidence chain status: invalid (suspected tampering)

Number of evidence events: 45

Verification passed: No

Simulated anchoring (PageCipher integration pending) ? marked as a simulation.

#	Timestamp	Actor	Action	Hash
606	2026-09-02T19:07:23.9	actor_system_humano	generate_report_ge	5d5cca051488...
624	2026-09-02T19:07:42.7	actor_system_humano	generate_report_ge	fd6145065992...
640	2026-09-02T19:07:46.7	actor_system_humano	generate_report_ge	c5a6167b74fc...
642	2026-09-02T19:07:47.1	actor_system_humano	generate_report_ge	98f5ed3b1e44...
654	2026-09-02T19:07:50.2	actor_system_humano	generate_report_ge	cefec1f2fbc3...
668	2026-09-02T19:07:57.2	actor_system_humano	generate_report_ge	39171a31b047...
672	2026-09-02T19:07:58.2	actor_system_humano	generate_report_ge	38511f7e9555...
736	2026-09-04T05:43:23.3	actor_system_humano	generate_report_ge	91b5b1bbf92d...
814	2026-09-04T06:40:02.1	actor_system_humano	generate_report_ge	ff93632aed7f...
822	2026-09-04T06:43:48.7	actor_system_humano	generate_report_ge	fc88915d54a3...
858	2026-09-04T06:48:07.0	actor_system_humano	generate_report_ge	391e2c436e0d...
864	2026-09-04T06:48:21.7	actor_system_humano	generate_report_ge	28e39c1f00d0...
870	2026-09-04T06:48:42.9	actor_system_humano	generate_report_ge	56cecfa5a3e3...
1022	2026-09-04T07:28:27.8	actor_system_humano	generate_report_ge	ecb1982696e3...

PageCipher anchor status

PageCipher integration status:

Active (HTTP integration with PageCipher)

PageCipher anchor status:

31/45 Anchored

PageCipher anchor reference:

pagecipher:b7219e6f798b145ad0b32ae0b760e3cc

Last anchoring attempt:

2026-09-04T07:28:27.883Z

The PageCipher anchor proves the integrity and provenance of the evidence ? it does not certify legal compliance.

Open risks & gaps

- 7 open controls without evidence

Legal notices (disclaimer)

- This report does not certify legal compliance.
- The risk classification is preliminary and does not replace a legal assessment.
- The legal assessment may require a specialized legal review.
- This report serves governance and compliance preparation on an evidence basis. It does not constitute legal advice, certification, or a conformity attestation.
- Human responsibility remains mandatory; AI does not make automatic decisions about persons.

Appendix

Control catalog

Control	Source	Reference
Check for prohibited practices	eu_ai_act	EU AI Act Art. 5
Risk management system	eu_ai_act	EU AI Act Art. 9
Data governance	eu_ai_act	EU AI Act Art. 10
Technical documentation (Annex IV-like)	eu_ai_act	EU AI Act Art. 11 / Annex IV
Record-keeping / logging	eu_ai_act	EU AI Act Art. 12
Transparency & labeling	eu_ai_act	EU AI Act Art. 13 / 50
Human oversight	eu_ai_act	EU AI Act Art. 14
Accuracy, robustness, cybersecurity	eu_ai_act	EU AI Act Art. 15
Lawfulness of processing	gdpr	DSGVO Art. 6
Data minimization	gdpr	DSGVO Art. 5(1c)
Data protection impact assessment	gdpr	DSGVO Art. 35
Data subject rights	gdpr	DSGVO Art. 12-22
Bias monitoring	internal_policy	SysTec Policy AI-BIAS-01
AI literacy of those involved	ai_literacy	EU AI Act Art. 4
Access control	security	ISO 27001 A.9 (Referenz)
Audit/evidence chain	audit	SysTec Evidence Standard (ADR-003)

Generation metadata

Generated at:

2026-09-04T07:30:35.521Z

Version:

0.1.0

Language coverage:	100 %
Number of evidence events:	1027